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APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/698,988	10/31/2003		Brian M. Sager	NSL-014	8858
27652	7590	08/09/2006		EXAMINER	
JOSHUA D. ISENBERG				PATTERSON, MARC A	
JDI PATEN	T				
809 CORPORATE WAY				ART UNIT	PAPER NUMBER
FREMONT, CA 94539				1772	

DATE MAILED: 08/09/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

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	Application No.	Applicant(s)	
A	10/698,988	SAGER ET AL.	
Office Action Summary	Examiner	Art Unit	
	Marc A. Patterson	1772	
The MAILING DATE of this communication a Period for Reply	ppears on the cover sheet wi	th the correspondence address	
A SHORTENED STATUTORY PERIOD FOR REP WHICHEVER IS LONGER, FROM THE MAILING  - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication.  If NO period for reply is specified above, the maximum statutory perions are provided to reply within the set or extended period for reply will, by state any reply received by the Office later than three months after the main earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNIC 1.136(a). In no event, however, may a re of will apply and will expire SIX (6) MON ute, cause the application to become AB	CATION.  Sply be timely filed  THS from the mailing date of this communication.  ANDONED (35 U.S.C. § 133).	
Status			
1) Responsive to communication(s) filed on 26	Julv 2006.		
	nis action is non-final.		
3) Since this application is in condition for allow	ance except for formal matte	ers, prosecution as to the merits is	
closed in accordance with the practice under	Ex parte Quayle, 1935 C.D	. 11, 453 O.G. 213.	
Disposition of Claims			
4) Claim(s) 12-36 is/are pending in the applicat	ion		
4a) Of the above claim(s) is/are withdr			
5) Claim(s) is/are allowed.			
6)⊠ Claim(s) <u>12-36</u> is/are rejected.			
7) Claim(s) is/are objected to.			
8) Claim(s) are subject to restriction and	or election requirement.		
Application Papers			
9)☐ The specification is objected to by the Exami	ner.		
10) The drawing(s) filed on is/are: a) □ ad	ccepted or b) objected to t	by the Examiner.	
Applicant may not request that any objection to the	e drawing(s) be held in abeyan	ce. See 37 CFR 1.85(a).	
Replacement drawing sheet(s) including the corre		• •	
11) The oath or declaration is objected to by the I	Examiner. Note the attached	Office Action or form PTO-152.	
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreig a) All b) Some * c) None of:	gn priority under 35 U.S.C. §	119(a)-(d) or (f).	
1. Certified copies of the priority docume	nts have been received.		
2. Certified copies of the priority docume	nts have been received in A	oplication No	
<ol><li>Copies of the certified copies of the principle.</li></ol>	iority documents have been	received in this National Stage	
application from the International Bure			
* See the attached detailed Office action for a lis	st of the certified copies not	received.	
Attachment(s)			
Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948)		ummary (PTO-413) /Mail Date	
<ul> <li>Notice of Draitsperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08 Paper No(s)/Mail Date</li> </ul>		formal Patent Application (PTO-152)	
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### **DETAILED ACTION**

#### WITHDRAWN REJECTIONS

- 1. The 35 U.S.C. 102(b) rejection of Claims 12 14, 20 21, 23 25, 27 30 and 34 35 as being anticipated by Singh et al (U.S. Patent No. 6,057,035), of record on page 2 of the previous Action, is withdrawn.
- 2. The 35 U.S.C. 103(a) rejection of Claims 16 17 as being unpatentable over Singh et al (U.S. Patent No. 6,057,035) in view of Singh et al (WO 00/78540), of record on page 2 of the previous Action, is withdrawn.
- 3. The 35 U.S.C. 103(a) rejection of Claim 15 as being unpatentable over Singh et al (U.S. Patent No. 6,057,035) in view of Fibiger et al (U.S. Patent No. 6,818,163 B1), of record on page 2 of the previous Action, is withdrawn.
- 4. The 35 U.S.C. 103(a) rejection of Claims 18 19 as being unpatentable over Singh et al (U.S. Patent No. 6,057,035) in view of Ogawa et al (U.S. Patent No. 5,372,888), of record on page 2 of the previous Action, is withdrawn.
- 5. The 35 U.S.C. 103(a) rejection of Claims 22, 26 and 31 33 as being unpatentable over Singh et al (U.S. Patent No. 6,057,035) in view of Brinker et al (U.S. Patent No. 6,264,741 B1), of record on page 2 of the previous Action, is withdrawn.

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### **NEW REJECTIONS**

## Claim Rejections - 35 USC § 102

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

7. Claims 12 - 14, 20 - 21, 23 - 25, 27 - 30 and 34 - 36 are rejected under 35

U.S.C. 102(b) as being anticipated by Brinker et al (U.S. Patent No. 6,264,741 B1).

With regard to Claims 12, 14, 25, 28 - 30 and 34 - 36, Singh et al discloses an inorganic / organic (column 3, lines 9 - 10) nanolaminate (column 3, line 30) film (column 3, line 66) which has a plurality of layers of an inorganic material (silicate layers, therefore discrete layers comprising multiple layers or lamellae and consisting of silicate and having a different composition from a polymer layer; column 4, line 30) and a plurality of layers each consisting of an organic polymer (column 4, lines 63 - 64) wherein the layers of organic polymer alternate with the layers of inorganic material (column 3, lines 15 - 20) wherein the adjacent layers of the film are covalently bonded layers characterized by direct organic polymer – inorganic material covalent bonds (column 5, lines 33 - 35); the inorganic material therefore presents a long and tortuous path to an underlying substrate (tortuous path; column 5, lines 13 - 15); the organic material is hydrophobic (column 3, lines 15 - 20) and the film is a coating (column 3, line 51); the film is therefore a barrier film.

With regard to Claim 13, as stated above, the film has between 100 and 1000 layers (column 3, line 44 - 46).

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With regard to Claim 14, Brinker et al disclose a nanolaminate, as stated above, and therefore disclose layers of organic material having a thickness of 1 nm.

With regard to Claim 15, the film disclosed by Brinker et al is transparent (column 3, line 50).

With regard to Claims 20 - 21, the layers disclosed by Brinker et al are hydrophobic, as stated above, and therefore comprise layers made from polymer precursors to which a hydrophobic group comprising methyl has been added.

With regard to Claims 22, 26 and 31 - 33, Brinker et al disclose a Gemini surfactant (column 4, lines 45 - 46) and tubules (column 8, line 6) and layers which are self assembled (column 5, lines 7 - 31).

With regard to Claims 23 – 24, the film disclosed by Singh et al is utilized a coating, as stated above; Brinker et al therefore disclose an article of manufacture having the film disposed on the surface.

With regard to Claim 27, the organic polymer disclosed by Singh et al comprises polystyrene (column 4, line 36).

### Claim Rejections - 35 USC § 103

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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9. Claims 16 – 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Singh et al (U.S. Patent No. 6,057,035) in view of Singh et al (WO 00/78540).

Singh et al ('035) disclose a film as discussed above. With regard to Claims 16 - 17, Singh et al fail to disclose a film which has a permeability to oxygen less than  $1 \text{ cc/m}^2/\text{day}$  and a film which has a permeability to water vapor of less than  $1\text{g/m}^2/\text{day}$ . However, Singh et al (WO 00/78540) teach that the permeability of oxygen and water vapor (page 64, lines 16 - 17) is dependent on the amount of silicate (usually small amounts of the silicate are required to achieve good high gas barrier properties; page 64, lines 25 - 29).

Therefore, one of ordinary skill in the art would have recognized the utility of varying the amount of silicate to obtain the desired permeabilities. Therefore, the permeabilities would be readily determined by through routine optimization of the amount of silicate by one having ordinary skill in the art depending on the desired use of the end product as taught by Singh et al.

It therefore would be obvious for one of ordinary skill in the art to vary the amount of silicate in Singh et al in ('035) in order to obtain the desired permeabilities, since the permeabilities would be readily determined through routine optimization by one having ordinary skill in the art depending on the desired end result as shown by Singh et al (WO 00/78540).

10. Claims 18 – 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Singh et al (U.S. Patent No. 6,057,035) in view of Ogawa et al (U.S. Patent No. 5,372,888).

Singh et al disclose a film comprising barrier properties, as discussed above. With regard to Claims 18 – 19, Singh et al fail to disclose a superhydrophobic layer comprising fluoroalkylsilane.

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Ogawa et al teach the coating of a polymer surface (column 4, lines 23 – 27) with a fluoroalkylsilane layer (alkyl fluoride – containing chlorosilane layer), therefore a superhydrophobic layer, for the purpose of obtaining a layer that is anti – contaminating (column 3, lines 55 – 59). One of ordinary skill in the art would therefore recognize the advantage of providing for the layer of Ogawa et al in Singh et al, which is a polymer and therefore comprises a polymer surface, depending on the desired anti – contamination properties of the end product.

It therefore would have been obvious for one of ordinary skill in the art to have provided for a superhydrophobic layer comprising fluoroalkylsilane in Singh et al in order to obtain a layer that is anti – contaminating as taught by Ogawa et al.

### ANSWERS TO APPLICANT'S ARGUMENTS

11. Applicant's arguments, and amendments, regarding the 35 U.S.C. 102(b) rejection of Claims 12 – 14, 20 – 21, 23 – 25, 27 – 30 and 34 – 35 as being anticipated by Singh et al (U.S. Patent No. 6,057,035), 35 U.S.C. 103(a) rejection of Claims 16 – 17 as being unpatentable over Singh et al (U.S. Patent No. 6,057,035), 35 U.S.C. 103(a) rejection of Claim 15 as being unpatentable over Singh et al (U.S. Patent No. 6,057,035) in view of Fibiger et al (U.S. Patent No. 6,818,163 B1), 35 U.S.C. 103(a) rejection of Claims 18 – 19 as being unpatentable over Singh et al (U.S. Patent No. 6,057,035) in view of Ogawa et al (U. S. Patent No. 5,372,888), and 35 U.S.C. 103(a) rejection of Claims 22, 26 and 31 – 33 as being unpatentable over Singh et al (U.S. Patent No. 6,057,035) in view of Brinker et al (U.S. Patent No. 6,264,741 B1), of record in the previous Action, have been considered and have been found to be persuasive. The rejections are therefore withdrawn. The new rejections above are directed to amended Claims 12 – 36.

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12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Marc A Patterson whose telephone number is 571-272-1497. The examiner can normally be reached on Mon - Fri 8:30 AM - 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Harold Pyon can be reached on 571-272-1498. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Marc A. Patterson, PhD. Primary Examiner
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